



Page 1 of 2

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/809,205

03/25/2004

Toshimitsu Hirai

9319S-000717

27572 HARNESS, DICKEY & PIERCE, P.L.C. P.O. BOX 828 BLOOMFIELD HILLS, MI 48303

10/21/2004 SSESHE1 00000063 503213 01 FC:1001 790.00 DA

02 FC:1201 352.00 DA 03 FC:1051 130.00 DA



CONFIRMATION NO. 5980

FORMALITIES LETTER

\*OC000000013640727\*

Date Mailed: 08/27/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

790

- The statutory basic filing fee is missing.
   Applicant must submit \$ 776 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• Additional claim fees of \$344 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

## **SUMMARY OF FEES DUE:**

1272

Total additional fee(s) required for this application is \$4244 for a Large Entity

-790

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

352

Total additional claim fee(s) for this application is \$344

352 \$344 for 4 independent claims over 3.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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TRANSMITTAL FORM  (to be used for all correspondence after initial filing)			Application Number	10/809,205		
			Filing Date	March 25, 2004		
			First Named Inventor	Toshimitsu Hirai		
			Art Unit	2872		
			Examiner Name	N/A		
Total Number of Pages in This Submission			Attorney Docket Number	9319S-000717		
		ENCLO	SURES (check all that apply)			
Fee Transmittal F	orm	☐ Drawing(s)		After Allowance Communication to Technology Center (TC)		
Fee Attached	l	Licensi	ng-related Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Rep	ply	Petition	1	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Final		Provisi	i to Convert to a onal Application	Proprietary Information		
☐ Affidavits/dec	laration(s)		of Attorney, Revocation e of Correspondence Address	Status Letter		
Extension of Time Request		☐ Terminal Disclaimer		Other Enclosure(s) (please identify below):		
Express Abandonment Request		Request for Refund  CD, Number of CD(s)		Copy of Notice to File Missing Parts, executed Declaration/Power of Attorney		
☐ Information Disclosure Statement				and acknowledgement postcard.		
Certified Copy of Priority Document(s)		Remai	rks			
Response to Missing Parts/ Incomplete Application						
Response to Missing Parts under 37 CFR 1.52 or 1.53						
	SIGNA	TURE OF A	APPLICANT, ATTORNEY, O	R AGENT		
Firm or Hamess, Dickey & Pierce, P.L		Pierce, P.L	Attorney Name G. Gregory Schivley	Reg. No. 27,382		
Signature						
Date	October 18, 2004	//	(1) Junele	<del>)</del>		
	/0	ERT/FICAT	E OF TRANSMISSION/MAI	LING		
I hereby certify that the Service with sufficier Alexandria, VA 22313	nt postage as first o	class mail in	imile transmitted to the USPTO an envelope addressed to: C	or deposited with the United States Postal ommissioner for Patents, P.O. Box 1450,		
Typed or printed name	e G. Gregory S	obivley/		Express Mail EV 406 076 516 US (10/18/2004) Label No.		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 127 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this ourden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Date

Signature

October 18, 2004

cc: Hisa Tuttle 408-954-9058

PTO/SB/17 (10-03)
-9058 Approved for use through 07/31/2006, OMB 0651-0032
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FEE	TRA	ANS	MI	TT	AL
•	for F	FY 2	200	4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)	127

Complete If Known				
Application Number	10/809,205			
Filing Date	March 25, 2004			
First Named Inventor	Toshimitsu Hirai			
Examiner Name	To be assigned			
Art Unit	2872			
Attorney Docket No.	9319S-000717			

METHOD OF PAYMENT (check all that apply)			4	FEE CALCULATION (continued)				
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order			1	3. ADDITIONAL FEES  Large Entity   Small Entity				
Order  ☑ Deposit Account:				Fee	Small E	:ntity Fee	For Board #	F B
Deposit			Fee Code	(\$)	Code	(\$)	• • • • • • • • • • • • • • • • • • • •	Fee Paid
Account	50-3213		1051	130	2051	65	Surcharge - late filing fee or oath	130
Number			1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit	F 505		1053	130	1053	130	Non-English specification	
Account Name	Epson R&D		1812	2,520	1812	2,520	For filing a request for reexamination	
The Director is au	thorized to: (check all that		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
☐ Charge any add	ndicated below 🛛 Credit and ditional fee(s) during the pen	dency of this application	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
	ndicated below, except for t fied deposit account.	ne tiling tee	1251	110	2251	55	Extension for reply within first month	
to the above-lacing	FEE CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FI	LING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity 5	Small Entity		1254	1,480	2254	740	Extension for reply within fourth month	
	ee Fee <u>Fee Descript</u> ode (\$)	<u>on</u> Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
	001 385 Utility filing fee		1401	330	2401	165	Notice of Appeal	
l	002 170 Design filing for	<u> </u>	1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2	003 265 Plant filing fee		1403	290	2403	145	Request for oral hearing	
	004 385 Reissue filing	385 Reissue filing fee		1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2	005 80 Provisional fill	ng ree	1452	110	2452	55	Petition to revive – unavoidable	
	SUBTOTAL (1)	(\$) 790	1453	1,330	2453	665	Petition to revive - unintentional	
			1501	1,370	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLA	IM FEES FOR UTILITY A		1502	480	2502	240	Design issue fee	
	Extra Claims	Fee from Fee below Paid	1503	640	2503	320	Plant issue fee	
Total Claims	-20 ** = 0 X		1460	130	1460	130	Petitions to the Commissioner	
Independent	<b>=</b> = = = = = = = = = = = = = = = = = =		1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
Claims 7	-3 ** = 4 X	88 = 352	1806	180	1806	180	Submission of Information Disclosure Stmt	
Multiple Dependent Large Entity	X  Small Entity	= 0	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Fee Fee Code (\$)	Fee Fee Code (\$)	ription	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18	2202 9 Claims in	excess of 20	1810	770	2810	385	For each additional invention to be	
1201 88	i '	ent claims in excess of 3					examined (37 CFR § 1.129(b))	<del></del>
1203 290	ľ	ependent claim, if not paid	1801	770	2801	385	Request for Continued Examination (RCE)	
1204 86	2204 43 original p		1802	900	1802	900	Request for expedited examination of a design application	
1205 18		e claims in excess of 20 and nal patent		iee (sneci	fv)		· ··	
	SUBTOTAL (2)	(\$) 352		Other fee (specify)  *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130				
**or number previr	ously paid, if greater; For Reissue	s see ahove	1,600	CGO DY D	BOIC FIIII	y 1 00 F	300 10 IAL (3) (3) 13	
Of Harride, previo	and para, it greater, i or Nelssue	3, 000 above						
COURSES DV		_						

SUBMITTE	D BY		1			Con	plete (if applicable)
Name (Prin	t∕Type)	G. Gregory Schiyley		Registration No. (Attorney/Agent)]	27,382	Telephone	248-641-1600
Signature		[]-/	hey	my / Olin	len	Date	October 18, 2004

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